

**SES's L.S. RAHEJA COLLEGE OF ARTS AND
COMMERCE
(AUTONOMOUS)**



BOARD OF STUDIES: ACCOUNTANCY & FINANCE

PROGRAMME: B.COM (Management studies)

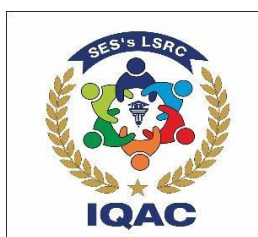
SEMESTER: III

NOMENCLATURE OF THE COURSE: Financial Institutions and Market

NEP Vertical: Minor

Credit: 2

**(As Per Choice Based Credit System (under NEP 2020) with effect from the academic year
2025-26)**



Programme:	B.COM(Management studies)
Nomenclature of the Course	Financial Institutions and Market
Total Marks	50 marks
Semester:	III
Academic year	2025-26

LEARNING OBJECTIVES:

- To equip students with a comprehensive understanding of financial institutions, structure, regulatory framework and emerging trends such as global financial policies.
- To provide students with understanding of financial markets, their structure, key

COURSE OUTCOMES:

- Students will gain a comprehensive understanding of financial institutions, their regulatory framework and global financial trends and apply them in real world.
- Students will develop a strong foundation in financial market, trading mechanism

Unit	Course Content	Andragogy	No of Lectures
1	<p>Banking and Non-banking financial Institutions:</p> <ul style="list-style-type: none"> • Introduction to Financial institutions and Regulatory framework. • Banking institutions • Non-banking financial institutions and shadow banking • Insurance and Pension funds(emerging trends) • Global financial institutions • Fintech and future of financial institutions 	Group presentations, Live case studies	15
2	<p>Introduction to financial Markets</p> <ul style="list-style-type: none"> • Role, Importance. • Types of financial market-Money market and Capital market <p>Stock market and trading mechanism</p> <ul style="list-style-type: none"> • Structure of stock market • Trading mechanism • Stock indices • Emerging trends in financial markets 	case studies group discussions, live market tracking	15

SUGGESTED READINGS

1. **Mishkin, F. S., & Eakins, S. G. (2018).** Financial markets and institutions (9th ed.). Pearson.
2. **Pathak, B. V. (2018).** The Indian financial system: Markets, institutions, and services (5th ed.). Pearson Education India.
3. **Bhole, L. M., & Mahakud, J. (2017).** Financial institutions and markets: Structure, growth, and innovations (6th ed.). McGraw-Hill Education.
4. **Khan, M. Y. (2019).** Indian financial system (10th ed.). McGraw-Hill Education.
5. **Rose, P. S., & Hudgins, S. C. (2013).** Bank management and financial services (9th ed.). McGraw-Hill Education.
6. **Fabozzi, F. J. (2015).** Foundations of financial markets and institutions (4th ed.). Pearson.
7. **Gordon, E., & Natarajan, K. (2020).** Financial markets and services (10th ed.). Himalaya Publishing House.
8. **Shapiro, A. C. (2014).** Multinational financial management (10th ed.). Wiley.
9. **Saunders, A., & Cornett, M. M. (2018).** Financial institutions management: A risk management approach (9th ed.). McGraw-Hill Education.
10. **Madura, J. (2020).** Financial markets and institutions (13th ed.). Cengage Learning.

QUESTION PAPER PATTERN

(A) RUBRICS FOR CONTINUOUS EVALUATION

Sr No.	Examination Method	Marks
1	Assignment/Project	10
2	Presentation/ Viva-Voce	10
	Total	20

(B) QUESTION PAPER PATTERN FOR SEMESTER END EXAMINATION

Question No.	Description	Total Marks
1	Practical Problem/Theory question	12
2	A. Practical Problem/Theory question	12
	B. Practical Problem/Theory question	
3	Short Notes(Any 2 out of 3)	6
	Total	30

Note: The practical problem/Theory question of 12marks each may be split up into two smaller problems/questions carrying 6 marks each.